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Financial LLC, Robinhood Securities,  
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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION**

ROBERT DAYS,

Plaintiff,

vs.

ROBINHOOD MARKETS, INC., a  
Delaware corporation; ROBINHOOD  
FINANCIAL LLC, a Delaware limited  
liability company; ROBINHOOD  
SECURITIES, LLC, a Delaware limited  
liability company;

Defendants.

Case No.: 4:21-cv-00696-YGR

**DEFENDANTS'  
CERTIFICATION OF  
INTERESTED ENTITIES**

Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC, by their attorneys, Farella Braun + Martel LLP and Cravath, Swaine & Moore LLP, pursuant to Federal Rule of Civil Procedure 7.1, disclose as follows:

1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC are privately held companies.
2. Robinhood Markets, Inc. does not have a parent corporation.
3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-owned subsidiaries of Robinhood Markets, Inc.
4. No publicly held corporation owns 10% or more of the stock of Robinhood Markets, Inc.; Robinhood Financial LLC; or Robinhood Securities, LLC.

Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the parties in this action, the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities have a financial or other interest in the subject matter or in a party that could be substantially affected by the outcome of this proceeding:

1. Baiju Bhatt
2. Vladimir Tenev
3. Index Ventures

Dated: February 23, 2021

FARELLA BRAUN + MARTEL LLP

By: 

C. Brandon Wisoff

*Counsel for Robinhood Financial LLC,  
Robinhood Securities, LLC, and  
Robinhood Markets, Inc.*